

UG-Kampala: Kampala Water - Lake Victoria WATSAN - Paquete 5A: Desarrollo de un concepto de saneamiento sin alcantarillado, examen de los aspectos relacionados con el suministro de agua en asentamientos informales y estudio de viabilidad. (BEI)

2012/S 110-181810

NATIONAL WATER AND SEWERAGE CORPORATION, UGANDA

REQUEST FOR EXPRESSIONS OF INTEREST

KAMPALA WATER - LAKE VICTORIA WATSAN "PACKAGE 5A: DEVELOPMENT OF AN APPROPRIATE SANITATION CONCEPT, REVIEW OF WATER SUPPLY ASPECTS IN INFORMAL SETTLEMENTS & FEASIBILITY STUDY

PROCUREMENT REFERENCE NUMBER: NWSC/HQ/SRVCS/2011-12/157880

LOCATION: KAMPALA CITY, UGANDA - EAST AFRICA

1. Project Description

National Water and Sewerage Corporation is undertaking the implementation of the Kampala Water-Lake Victoria Water and Sanitation Project (KW-LVWATSAN) with financial support from the German KfW Entwicklungsbank (KfW), European Union Africa Infrastructure Fund (EU-ITF), European Investment Bank (EIB), Agence Française de Développement (AFD), and the Government of Uganda (GoU).

Within the framework of KW-LVWATSAN project several packages are being implemented. Package 5 has the overall objective of improvement of water supply and sanitation infrastructure within informal settlements in the municipal area of Kampala, where approximately 50% of the city's population reside. While NWSC is responsible for sewerage and faecal sludge treatment, Kampala Capital City Authority (KCCA) is responsible for the collection and transport of faecal sludge. To ensure close coordination according to their mandates, NWSC has entered into a partnership with KCCA for the implementation of this study and investment package. The sanitation concept developed will be part of the Kampala Sanitation Master Plan (KSMP, 2004).

Package 5 has further been subdivided into sub-packages namely Package 5a for a study consultancy and Package 5b for an implementation consultancy. Package 5a involves development of an appropriate sanitation concept, review of water supply aspects put in place by NWSC in informal settlements and the feasibility study for the immediate measures which shall be implemented in 20 pre-selected parishes under Package 5b. Package 5b shall entail engineering design, tendering and construction supervision of the infrastructure measures, as well as the implementation of the social marketing programme.

NWSC now seeks to engage a consultant in order to embark on the implementation of Package 5a for an appropriate sanitation concept development, review of water supply aspects in informal settlements and feasibility study.

2. Scope of Services

The required consultancy services are for Package 5a: Development of an Appropriate Sanitation Concept, Review of Water Supply Aspects in Informal Settlements & Feasibility Study and will comprise of the following;

- a) Review of Aspects of Water Supply in informal settlements
 - i. Carry out a comprehensive situational analysis to ascertain good practices, core problems, and challenges by consideration of current operations of NWSC water supply, previous projects implemented, review of available studies, design documents, ongoing and planned projects, analysis of environmental constraints etc.
 - ii. Review of implementation and operational models including management and organizational arrangements, maintenance of infrastructure, procurement system etc. and propose/recommend

improvements and changes where necessary.

iii. Propose water supply extension in line with the sanitation concept developed to address the 20 selected parishes.

b) Appropriate Sanitation Concept Development

i. Carry out detailed baseline data collection as required and undertake analyses with a view to developing a basis for developing the concept for improvement of sanitation and faecal sludge management within informal settlements.

ii. Carry out a comprehensive current situational analysis to ascertain good practices, core problems and challenges developed within projects implemented by NWSC and other Stakeholders.

iii. Perform a detailed review of technical aspects of appropriateness of toilet technology, Faecal Sludge Management(FSM), stakeholder responsibilities, financing, institutional arrangements etc

iv. Develop a Sanitation Management Model for informal settlements of Kampala which should incorporate the best yet most practical institutional setup as well as all economic aspects like how to organise cash flow for faecal sludge treatment.

v. Assessment of studies, investigations and programmes currently or recently carried out in Kampala and in other parts of Uganda in the area of health promotion and Social Marketing.

vi. Propose a Health Promotion and Sanitation Marketing Programme, including the identification of partners and products, that should be geared towards an appropriate and adequate behavioral change in hygiene and demand creation for sanitation.

vii. Propose and outline the cost of the investment packages to direct future investment

c) Feasibility study for Package 5b

i. Undertake a baseline study in the 20 pre-selected settlements with a view to propose infrastructure developments for package 5b

ii. Pilot the operational model for small faecal sludge emptier (UgaVac) in Bwaise (settlement near the new Waste Water and Faecal Sludge Treatment Plant) to establish its sustainability

iii. Carry out a feasibility study for the immediate measures proposed and that are to be implemented under package 5b. This shall comprise inter alia of the following components, specifying quantities and costs for the investment packages under 5b with a financial contribution of up to EUR 10.0 million. This will entail: (i) Number, technology and location/sites of public toilets, schools toilets and shared community based toilets, as well as operation, financing and evaluation of a management model for public and shared community based toilets including covering of costs for Faecal Sludge collection, transport and treatment for the installed toilets (ii) Number, technology and location/sites for FSM facilities, including operation, financing and evaluation of a management model for FSM, (iii) Number, technology and location/sites for pre-paid meters (PPM) and networks for water supply and (iv) An outline of an Implementation model of a Social Marketing Programme for health promotion related to water born diseases, including the use of water from PPM, willingness to pay for water, FSM and the hygienic use of toilets, as well as the promotion among landlords technologies/ solution for private investments in toilets.

These consultancy services shall be delivered within a period of five (5) months.

3.Request for Expressions of Interest (EOI)

National Water and Sewerage Corporation now invites eligible consulting firms (consultants) to indicate their interest in providing the services. Interested consultants should provide information demonstrating that they have the required qualifications and relevant experience to execute the required scope of services. Documents to be submitted include:

1) Cover letter from the Lead Party clearly identifying all parties in any envisaged Joint Venture or Association.

2) Evidence that the signatory of the covering letter has both the authority of his Company to do so and of each party in the Joint Venture or Association attesting to their agreement with this authority on their behalf.

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- 3) Corporate profiles and status (provide corporate brochures).
 - 4) Evidence of a minimum annual turnover of Euro 800,000 or equivalent i.e.:
 - i) Confirmation of guarantee line given by the company's principal bank, which shall not be more than six (06) months old and shall state an actual amount;
 - ii) Separate legally binding signed declarations of undertaking from each party in the Joint Venture or Association in compliance with Annex 3: Appendix 1 "Guidelines for Assignment of consultants in Financial Cooperation Projects";
 - iii) Authenticated three year summary balance sheets and individual balance sheets and profit and loss accounts of the lead consultant and all associated firms for the last three years.
 - 5) Requisite administrative documents for each party in the Joint Venture or Association, either individually or in a single document as called for that shall include: statements, affidavits and declarations as per the "Guidelines for Assignment of consultants in Financial Co-operation Projects" (refer to homepage of KfW development bank www.kfw-eritwicklungsbank.de).
 - 6) At least 5 similar projects completed in the last ten years; (total maximum of 10 references). The projects should be for cities with a population \geq 1.5 Million inhabitants. Any references beyond the maximum number will be ignored.
 - 7) Organisational structure of each party in the Joint Venture or Association indicating number and qualifications of in-house personnel available for home office, backstopping and quality assurance personnel.
 - 8) Very brief curriculum vitae of in-house experts available to provide home office, backstopping and quality assurance services (max. 3 pages per staff). Brief CVs shall consist of name, professional qualification, year obtained, with firm since, position in firm, technical field and specialisation, languages and foreign Service (country, year, duration, project, task, function) if applicable. The short listing criteria are: eligibility, organisational set-up, experience in similar assignments, experience in similar developing countries, experience in the water and sanitation sector with special focus on informal settlements, experience in Social Marketing not limited to water and sanitation, experience in designing and conducting baseline studies (household surveys, focus group discussions and expert interviews), availability of technical capacity to execute the specified scope of services and availability of appropriate skills amongst in-house experts to provide home-office/backstopping and quality assurance services.
- Consultants may associate with other firms in the form of a joint venture or a sub consultancy to enhance their qualifications. The consultancy is open only to eligible consultants experienced in the water and sanitation sector and will follow the latest version of the "Guidelines for Assignment of consultants in Financial Co-operation Projects". For capacity building and knowledge transfer, associations with local consultants are highly encouraged.

This request for expression of interest is also available at the following addresses;

- 1) <http://nwsc.co.ug/tenders>
- 2) GTAI, Germany Trade and Invest GmbH
Villemombler Str. 76, 53123 Bonn
<http://www.gtai.de/EN/Navigation/home/home.html>
Search KfW-Tenders
- 3) <http://ted.europa.eu/>
- 4) <http://tenders.afd.dgmarket.com>

4. Deadline for Submission of Expressions of Interest

Time: 11:00 hrs, EAT on;

Date: 17 July 2012

Any EOI received after this deadline will not be considered. Copies to other parties as indicated below shall have evidence of posting not later than the deadline for submission.

5. Address of Submission

Original Expressions of Interest and three copies must be delivered in a written form to the address below by 17th July 2012 at 11:00 am, with envelope or packaging clearly marked with the title of the procurement.

The Procurement Manager,

National Water and Sewerage Corporation, Plot 43/49, 6th Street Industrial Area, P.O. Box 7053, Kampala, Uganda, Tel: +256 414315800, E-mail: procurement@nwsc.co.ug.

And copied, preferably by e-mail or other electronic means, to the following:

The Principal Technical Advisor,

KfW Bankengruppe,

Division L II b 4, Water and Sanitation, Subsahara Africa

Palmengartenstraße 5-9
60325 Frankfurt am Main, Germany
Tel. +49 69 7431-8729
E-mail: hartmut.beck@kfw.de

The Tender Agent, Water and Sanitation - Kampala,
26 The Fieldings, 8A Mill Gap Road, Eastbourne, East Sussex,
BN21 2JJ, United Kingdom,
Tel. no: +44 1323 416451.

E-mail: bkrdyb@aol.co.uk

Further information can be obtained in writing or by e-mail from the Procurement Manager NWSC, with copies of such requests also provided to the above mentioned addresses during working hours (0800 to 1700 hrs EAT) up to two weeks before the deadline for submission of EOIs.

6. Language of the procedure

All written communications for this tender procedure and contract must be in English

7. Evaluation Criteria

The evaluation procedure of the prequalification process will follow the latest version of the "Guidelines for Assignment of Consultants in Financial Co-operation Projects". The evaluation shall consist of two steps. The first step will be based on proof of eligibility and the second qualification assessment. Only eligible firms which have submitted the necessary statements required and satisfying the set conditions in Item 3 shall proceed for the detailed qualification assessment as follows:

Criteria; Points

1 Evidence of experience gained in the last 10 years; 40

1.1 Experience in handling similar projects (25 points)

1.2 Experience under various working conditions in developing countries (10 points)

1.3 Experience of region or country preferably in the same sector (5 points)

2 Suitability for this specific project; 60

2.1 Assessment of available technical knowledge specific to this project (20 points)

2.2 Assessment of organizational structure and no. and qualifications of in-house personnel (10 points)

2.3 Assessment of key personnel in permanent employment (25 points)

2.4 Form, completeness and suitability of application documents for the project (5 points)

Total; 100

After having completed the evaluation of the prequalification documents, a short-list consisting of no more than five highest ranked Consultants or less scoring a minimum of 75 points will be established. Short-listed firms shall be invited to submit a technical and financial proposal; firms not pre-qualified will be informed accordingly.

Once prequalification documents have been opened, the bidding consultants shall cease to request information from NWSC. However if necessary, NWSC shall request for clarification or other information.

8. Other Info

All costs for a site visit, obtaining information/data and preparation /submission of prequalification documents, meetings, negotiations etc. in relation with the prequalification or the subsequent proposal shall be borne by the consultants. The preparation and submission of the prequalification document is the responsibility of the applicant and no consideration shall be given to errors and omissions.

PROPOSED TENDERING SCHEDULE

Advert for EOIs 12 / 6 /2012

Submission of EOIs 17 / 7 /2012

Evaluation of EOIs 3 /8 /2012

Request for Tenders 23 / 8 /2012

Submission of Tenders 8 / 10/2012

Evaluation of Tenders 21 /11 /2012

Contract Award & Signature 31 /12/2012